

Transfinite Arrays Formally

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Streams are often thought of as infinite versions of lists. They have a very similar interface, and in some languages these two data structures are indistinguishable. What would be an infinite version for multi-dimensional arrays that preserve array-algebraic properties such as rank polymorphism and row-major reshaping? We answer this question with a novel data structure named transfinite arrays — arrays that are indexed with countable ordinals. With this data structure programmers can express generic algorithms on arrays without distinguishing whether the number of array elements is finite or infinite, which avoids answering the ever lasting question “to stream or not to stream”. We formalise finite rank-polymorphic arrays in Agda, show their main properties and extend them into transfinite domain, ensuring that rank-polymorphism and row-major reshaping is preserved. We explore alternative constructions of infinite arrays, their shortcomings and provide a number of examples.

Additional Key Words and Phrases: keyword1, keyword2, keyword3

1 INTRODUCTION

Numerical problems often model phenomena that occur in infinite domains. For example, dynamical systems bound by laws of physics usually evolve in infinite time and infinite space. As such domains cannot be represented in a computer memory directly, typically, either a model is restricted to finite subdomains, or a finite encoding is chosen for an infinite domain. For example, in dynamical systems, it is common to restrict space to some finite area/volume (so that it fits into memory). The infinite time is encoded as a function that returns the state of the chosen subspace at every given time.

While these restrictions are important implementational details, very often they are hard-coded into problem specifications. As a consequence, the algorithm gets significantly obfuscated by the encoding artifacts, suffering readability and maintainability. More importantly, an attempt to change data representation from finite to infinite or vice versa very often results in major program rewrites.

The main question of this paper is how to liberate numerical specifications from the necessity to distinguish between finite and infinite data and make them *infinity-agnostic*. If we restrict our collections to sequences, then list-stream pair provides a reasonable answer. Lists represent finite sequences, streams — infinite, and it is possible to define a common interface to both data structures. For example, combinators such as map, zip or take behave in the same way on both data structures.

However, the key data structure in numerical applications is the multi-dimensional array. On the one hand it is a natural abstraction for spaces with rectangular structure; on the other hand, computations on arrays can be efficiently implemented on conventional architectures. While arrays sometimes are identified with nested list, such a view misses on the very essential array programming feature *rank polymorphism* — the ability to define operations on arrays of any number of dimensions. This happens because in order to figure out whether the list is nested or not, one has to pattern-match on the type, which in turn breaks parametricity (see in-depth discussion in Section 2). Consequently, a joint interface between arrays and streams would miss on rank polymorphism as well.

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Another essential part of rank-polymorphic arrays is the associated array algebras. After the success of APL [Iverson 1962] in early 60s, several attempts were taken to design an array theory [Jenkins and Glasgow 1989; More 1973; Mullin 1988]. Similarly to set theories, array theories capture the main assumptions on the structure of arrays, basic operations, and the axioms that explain behaviour of these operations. These axioms are often given as universal equalities that can be also thought of as rewrite rules. Therefore, we find it essential to preserve as many of such equalities as possible in the infinite case.

While the previously mentioned array theories differ in details, we observe at least one common axiom about the very basic array operation called *reshape* – an element-preserving change of the array shape. The axiom says that reshape happens by first row-major flattening an array (into a 1-dimensional array) and then “unflattening” it into the desired shape.

Unfortunately, row-major flattening does not work in case of streams or colists. The essence of the row-major flattening lies in the ability to take apart “things” that were concatenated. In the array/list terminology, an essential equality of the row-major reshape is:

$$\text{drop}(\text{shape } a) (a ++ b) = b$$

where a and b are 1-dimensional arrays, in which case *shape* is just their lengths. While this equality holds for lists, it fails in case a is an infinite stream/colist. Intuitively, we can concatenate infinite lists with “stuff”, but we cannot access this stuff ever again.

In order to salvage row-major flattening, we introduce a novel data structure called *transfinite arrays* – arrays that are indexed with countable ordinals¹. This appears to be a natural extension of finite arrays, and its definition is inductive. We make sure that ordinal equality and comparison is decidable, which means that infinity-agnostic specification can take local decisions based on the finiteness of inputs.

We use Martin-Löf dependent type theory [Martin-Löf 1985] (and Agda [Norell 2009] as the implementation of it) to define rank-polymorphic transfinite arrays. The resulting framework can be seen as a minimalist array theory that is consistent (none of the mentioned array theories formally showed this) and that offers immediate computational interpretation (all the mentioned array theories are axiomatic). We believe that the proposed theory exposes the basic principles that can be used to build an actual programming language.

The individual contributions of the paper are as follows:

- Define finite multi-dimensional rank-polymorphic arrays so as to provide a static guarantee that data-access is within bounds.
- Encode ordinal numbers in Cantor Normal Form, arithmetic operations, comparisons and a number of accompanying theorems.
- Generalise finite arrays to transfinite ones using ordinal indexing, demonstrate preservation of rank-polymorphism and row-major flattening.

This paper is an Agda script.

2 FINITE RANK-POLYMORPHIC ARRAYS

In this section we explore the consequences of not distinguishing arrays and lists; review three existing array theories and define the encoding for multi-dimensional arrays in Agda.

We define arrays as finite hyper-rectangular tables that can be indexed by tuples of natural numbers. These can be immediately thought of as nested lists² where the nesting depth is the number of array dimensions (also referred as *rank*). As noticed by [More 1979], such an encoding

¹By ordinals in this text we mean an initial segment of countable ordinals up to ϵ_0 .

²We mean here homogeneous nesting where all the sublists are of the same length.

looses the information about array nesting levels. That is, a list $[[1,2,3],[4,5,6]]$ can be both: an array of shape 2×3 of numbers, and a two-element array of three-element arrays of numbers. While these two interpretations are isomorphic, conversion from arrays to lists is not injective.

Even if we assume that arrays do not nest, the list-based encoding does not admit rank-polymorphic operations. Assume defining a function that transposes any n -dimensional array. Computing rank from the list representation would require pattern-matching on the list element type. Even though this could be done in principle, most of the existing strongly-typed functional languages, e.g. Haskell, Agda, or Idris choose not to do so as it breaks parametricity. As a consequence, within a parametric type system arrays and lists shall be distinct.

Rank polymorphism. This concept was pioneered by APL and later picked-up by a plethora of array languages: J [Stokes 2015], K [Whitney 2001], SaC [Scholz 2003], Remora [Slepek et al. 2014], Qube [Trojahnner and Greleck 2009] and many more. In essence, rank polymorphism is at the very core of the APL success story: incredible expressiveness of array computations written in an index-free combinator style. As an example, consider the following (valid) APL expression:

$$2 \div \sim 1 \phi a + \sim 1 \phi a$$

that computes a two-point convolution of the array a . This is done by first rotating vectors along the last axis of a one element to the left ($\sim 1 \phi a$), then one element to the right ($1 \phi a$), then adding these results element-wise ($+$), and then dividing each element by two ($2 \div \sim$). The important point is that this expression is applicable to a of *any* rank, including zero-dimensional arrays (often called *scalars*). Not only the initial set of APL combinators found very useful in practice, but it also gives rise to the number of universal equalities such as:

$$(-x) \phi x \phi a \equiv a$$

It says: if we first rotate vectors in the last axis of a x elements in one direction and then rotate by x elements in the opposite direction, we will always get back the same array. These universal equalities are not surprising, as they are based on simple arithmetic facts, yet they give a powerful reasoning technique and they can be used as rewrite rules when programs are automatically transformed.

2.1 Existing Theories

In order to study universal array equalities formally, a number of array theories have been proposed. Most noticeably by Jenkins, More and Mullin. All of these are given in an axiomatic style and do not have direct computational interpretations. Consistency of these theories, to our knowledge, has never been formally shown as well. We make a brief overview of the basic notions.

Jenkins. The first section of [Jenkins and Glasgow 1989] studies whether it would be possible to use lists as a representation for arrays and uses the following instructional example that can be restated as follows. It is well-known that **List** and **Vec**³ are isomorphic: going from **Vec** to **List** requires forgetting the length, and going from **List** to **Vec** is always possible by recomputing the length of the list.

³As a quick reminder, List of X -es has two constructors: $[]$ to build an empty list and $_{::}$ to append an element at the front of the already constructed list. **Vec** of X -es is an indexed version of the **List**, where the index is a natural number that represents the length of the list.

<pre> 142 data List (X : Set) : Set where 143 [] : List X 144 _::_ : X → List X → List X </pre>	<pre> 142 data Vec (X : Set) : ℕ → Set where 143 [] : Vec X 0 144 _::_ : ∀ {n} → X → Vec X n → Vec X (1 + n) </pre>
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Note that for readability purposes, in the paper we avoid universe polymorphism. In the actual encoding, all the types are universe-polymorphic.

148 $l\text{-}v : \forall \{X\} (x : \text{List } X) \rightarrow \text{Vec } X (\text{length } x)$

149 $v\text{-}l : \forall \{X\}\{n\} (v : \text{Vec } X n) \rightarrow \text{List } X$

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We can easily show⁴ that these conversion functions are inverses of each other. Now, if we have a 2-d table of elements, and we have two functions: `rows` (that turns a table into the list of rows of the table), and `mix` that turns a list of rows back into the table; can we show that `mix (rows x)` is `x`?

154 `data Table (X : Set) : ℕ → ℕ → Set where`

155 `tab : (m n : ℕ) → Vec X (m * n) → Table X m n`

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`#rows : ∀ {X} → List (List X) → ℕ`

`#cols : ∀ {X} → List (List X) → ℕ`

`rows : ∀ {X m n} → Table X m n → List (List X)`

`mix : ∀ {X} (x : List (List X)) → Table X (#rows x) (#cols x)`

First observation is that a straight-forward encoding representing tables with no rows with the empty list, leads to a contradiction. This happens because for the `mix/rows` isomorphism to work, `rows` have to preserve the size of the table:

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`#rows-pres : ∀ {X m n}\{x : Table X m n\} → #rows (rows x) ≡ m`

`#cols-pres : ∀ {X m n}\{x : Table X m n\} → #cols (rows x) ≡ n`

which leads to the following contradiction:

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`contra : ∀ {X} → (thm : ∀ {n} {x : Table X 0 n} → rows x ≡ []) → 5 ≡ 6`

The proof of the contradiction uses the fact that the empty table of 5-element rows is encoded in the same way as the empty table with 6-element rows. Therefore, if we ever can decode this back to the original table, `#cols` must (magically) produce the length of the original table, which is impossible.

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However, does this mean that while `List` is isomorphic to `Vec`, `List•List` and `Vec•Vec` are not? It is clear that `List (List X)` encodes more objects than `Vec (Vec X n)` `m`, as inner lists may be of different lengths. Let us restrict to equilateral inner lists, *i.e.* each list `l` comes with the proof of type `ll-coh l`:

`ll-coh : (x : List (List X)) → Set`

`ll-coh [] = ⊤`

`ll-coh (x :: xs) = All (λ t → length t ≡ length x) xs`

Do we have an isomorphism then? It turns out that we do, and it is witnessed by (surprisingly long!) proof that can be found in supplementary materials when an instance of the `Table≡ListList` type is defined.

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To work around the loss of information we use the following diagonalisation argument. As conversion functions of the empty table is parametric in `X`, the encoding must preserve the number of the elements, *i.e.* tables of shape `m × 0` or `0 × n` must be encoded with a sequence of empty lists. This means that we have to find an invertible encoding from pairs `(m, 0)` and `(0, n)` to natural numbers, and generate that many empty lists when converting an empty table. In the proof we use the following scheme:

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$$(0, 0) \mapsto 0 \quad (m, 0) \mapsto 2m - 1 \quad (0, n) \mapsto 2n$$

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This encoding is obviously (not to Agda though) invertible, therefore we can recover previously lost information. Non-empty tables of shape `m × n` can be encoded in the usual row-major way: by “cutting” the data vector of the table into `m` lists of length `n`.

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⁴This proof can be found in the supplementary materials when defining an instance of the `List≡Vec` type.

Jenkins Arrays. The array object in Jenkins theory can be translated to Agda as follows.

```

197
198 data JArKind : Set where
199   Scal Lst Arr : JArKind
200
201 data JAr : JArKind → Set
202
203
204 conform : JAr Lst → JAr Lst → Set
205
206 data JAr where
207   zero : JAr Scal
208   succ : JAr Scal → JAr Scal
209   void : ∀ {k} → JAr k → JAr Lst
210   hitch : ∀ {k} → JAr k → JAr Lst → JAr Lst
211   reshape : (s : JAr Lst) → (l : JAr Lst) → conform s l → JAr Arr
212

```

Three kinds of arrays are being distinguished: natural numbers, lists and multi-dimensional arrays. The natural numbers are given by the usual two constructors `zero` and `succ`. The lists are given by constructors `void` and `hitch` which are almost nil and cons with the following important difference. As a solution to the problem that nested lists cannot properly represent tables, it is concluded that there must be an infinite number of empty lists. This is achieved by parametrising the empty list constructor with *the value*. This is quite different from the polymorphic lists and vectors — it is possible to create a value of type `List ⊥` in Agda, but not in the above system. Finally, and most importantly, `reshape` is a constructor for multi-dimensional arrays. It is given by the shape which is a list and the flattened list representation of array elements. The `conform` relation ensures that the shape is a list of numbers, and that the length of the element list is the same as the product of the shape elements. Note that this system allows for arbitrarily nested arrays: `hitch` accepts any array as its first argument. This treatment of arrays being a “view” for lists can be found in many array languages, *e.g.* SaC and Qube.

Mullin. While Jenkins’ theory is given in a constructive style, Mathematics of Arrays [Mullin 1988] is set-up in an observational style. The theory introduces a generalised array indexing function called Ψ , and then the meaning of array operations is given by the way the behaviour of the Ψ function changes when it is applied to the result of the operation. For example, an element-wise addition is defined as: $\vec{\iota}\Psi(a + b) \equiv (\vec{\iota}\Psi a) + (\vec{\iota}\Psi b)$. Essentially saying that for any arrays a and b of the same shape, an element at index $\vec{\iota}$ in the sum of a and b is the same as sum of elements found at index $\vec{\iota}$ in the arrays a and b (for any valid $\vec{\iota}$). The theory only deals with the homogeneous (non-nested) arrays and defines Ψ -based observational equalities for a large subset of the APL operators.

More. The idea of [More 1973] is to combine APL operations and set theory in order to obtain a mathematical theory of arrays. The theory is given in the axiomatic style that closely resembles ZFC, and it axiomatises a large subset of APL (it has 32 axioms and about a 100 theorems). Arrays in this theory can nest similarly to Jenkins’ theory. The important difference is given at [More 1973, page 137], where we read:

A restriction of indices to the finite ordinal numbers is a needless limitation that obscures the essential process of counting and indexing.

This exactly in line with our experience, and we will make this point precise in Section 5.

2.2 Encoding

We intend to use our Agda encoding as a minimalist array theory in which we could restate as many as possible results from the previously mentioned array theories. This means that our arrays have to be rank-polymorphic, and the shape of the array has to become an invariant. If we chose to keep this invariant at the level of types, (which makes sense) then a number of key operations immediately become dependently typed. For example, in operations such as reshape, take and drop, the value that carries shape impacts the type of the result. We have chosen to encode arrays as follows:

```

246 data Ix : (d : ℕ) → (s : Vec ℕ d) → Set where
247   [] : Ix 0 []
248   .._ : ∀ {d s x} → Fin x → (ix : Ix d s) → Ix (suc d) (x :: s)
249
250 data Ar (X : Set) (d : ℕ) (s : Vec ℕ d) : Set where
251   imap : (Ix d s → X) → Ar X d s

```

The `Ar` data type is indexed by the shape `s` which is represented as a `Vector` of natural numbers. The `Ix` type is a type of valid indices within the index-space generated by the shape `s`. The valid index in such an index-space is a tuple of natural numbers that is component-wise less than the shape `s`. Finally, the array with elements of type `X` is given by a function from valid indices to `X`.

In some sense `Ar` and `Ix` are second-order versions of `Vec` and `Fin`⁵. This could be also thought of as a computational interpretation of the Mathematics of Arrays (where Ψ becomes an array constructor), or generalisation of pull arrays [Svensson and Svenningsson 2014].

This encoding intrinsically guarantees that all the array accesses are within bounds. It has a satisfying property that any array operation composition normalises to an array constructed by a function composition. This lies at the essence of the SaC programming language and its with-loop construct. Finally, `imap` can be thought of as an abstract tag that indicates that a function at runtime has to be tabulated. However, the decision on how exactly this is done is completely opaque.

Finally, the `Ar` type can be represented without using index-value functions to store the elements as follows.

```

252 Tensor : ∀ {d} → Set → Vec ℕ d → ℤ → Set
253 Tensor X [] = λ _ → X
254 Tensor X (x :: v) = (flip Vec x) ∘ Tensor X v
255
256 a-t : ∀ {X d s} → Ar X d s → Tensor X s tt
257 a-t {s = []} (imap a) = a []
258 a-t {s = x :: s} (imap a) = tabulate λ i → a-t $ imap λ iv → a (i :: iv)
259
260 sel : ∀ {X d s} → Ar X d s → Ix d s → X
261 sel (imap a) iv = a iv
262
263 t-a : ∀ {X d s} → Tensor X s tt → Ar X d s
264 t-a {s = []} x = imap λ _ → x
265 t-a {s = x1 :: s} x = imap λ { (i :: iv) → sel (t-a $ lookup x i) iv }

```

We used the name `Tensor` for the following reason: in mathematics, a contravariant tensor of rank $(m, 0)$ is defined as a tensor product of m vectors: $V \otimes V \otimes \dots$. As it can be seen, if we replace tensor product with composition, `Tensor` is a d -fold composition of `Vec x` types (where `x`-s are

⁵The type `Fin x` describes the set of natural numbers that are less than `x`

corresponding shape elements). The resulting tensor is contravariant because in order to transform an array of shape sm into the one of shape sn , we need to provide a function that transforms indices in the opposite direction:

```

295  $\text{transf} : \forall \{X\ m\ n\ sm\ sn\} \rightarrow (it : \text{Ix } n\ sn \rightarrow \text{Ix } m\ sm) \rightarrow \text{Ar } X\ m\ sm \rightarrow \text{Ar } X\ n\ sn$ 
296
297  $\text{transf } it\ (\text{imap } f) = \text{imap } \$\ f \circ it$ 

```

2.3 Extensions

While the above encoding is very minimal, it can be used as a basic building block for more complicated array expressions. We demonstrate it this by giving types to two important concepts found in array programming languages: partitioning and nesting.

Partitioning. In [Svensson and Svenningsson 2014] push arrays are motivated with an observation that concatenation of two (one-dimensional) pull arrays requires executing a conditional check at every array index:

```

310  $\text{conc} : \forall \{X\ m\ n\} \rightarrow \text{Ar } X\ 1\ (m :: []) \rightarrow \text{Ar } X\ 1\ (n :: []) \rightarrow \text{Ar } X\ 1\ (m + n :: [])$ 
311  $\text{conc } \{m = m'\}\ (\text{imap } a)\ (\text{imap } b) = \text{imap } \text{body where body : } _$ 
312  $\text{body } (i :: []) \text{ with splitAt } m\ i$ 
313  $\dots \mid \text{inj}_1\ i' = a\ \$\ i' :: []$ 
314  $\dots \mid \text{inj}_2\ i' = b\ \$\ i' :: []$ 

```

This is indeed the case, and the `splitAt` function in the above specification hides that very conditional. Executing such a specification directly on a conventional (sequential) hardware may be inefficient. While push arrays help here, there is an alternative solution, for example used in SaC, which is to introduce the ability to partition the index-space when defining an `imap`. In other words, to internalise the conditional on the index. Here is the type that makes this idea precise:

```

321  $\text{data Part } (d : \mathbb{N}) : \text{Vec } \mathbb{N}\ d \rightarrow \text{Set where}$ 
322  $\text{vec} : (v : \text{Vec } \mathbb{N}\ d) \rightarrow \text{Part } d\ v$ 
323  $\text{cut} : \forall \{s\} \rightarrow (i : \text{Fin } d) \rightarrow (k : \mathbb{N})$ 
324  $\rightarrow \text{Part } d\ s$ 
325  $\rightarrow \text{Part } d\ (s [ i ] := k)$ 
326  $\rightarrow \text{Part } d\ (s [ i ] \% = (\_ + k))$ 
327
328  $\text{data ArP } (X : \text{Set}) : (d : \mathbb{N}) \rightarrow (s : \_) \rightarrow (\text{Part } d\ s) \rightarrow \text{Set where}$ 
329  $\text{imap-vec} : \forall \{d\ s\} \rightarrow (\text{Ix } d\ s \rightarrow X) \rightarrow \text{ArP } X\ d\ s\ (\text{vec } s)$ 
330  $\text{imap-cut} : \forall \{d\ s\ i\ k\ pl\ pr\} \rightarrow (\text{ArP } X\ d\ s\ pl) \rightarrow (\text{ArP } X\ d\ \_pr) \rightarrow \text{ArP } X\ d\ \_(\text{cut } i\ k\ pl\ pr)$ 

```

The `ArP` type says that an array either consists of a single partition (in which case it is just an `Ar`), or it is a concatenation of two arrays. Then `Part` ensures that the shapes of these two arrays are valid for the concatenation, *i.e.* the shapes differ at only one element at position i . The intuition here is that in order to concatenate two matrices, either the number of columns should match (in which case we concatenate them horizontally), or the number of rows should match (in which case we concatenate them vertically). The same holds for n -dimensional case, except we have n choices and not two.

Nesting. In APL, arrays of type `Ar (Ar X n s_2) m s_1` and `Ar X (m + n) ($s_1 ++ s_2$)` are indistinguishable. In our system these arrays are isomorphic, but we cannot abstract over the nesting. However, we can achieve this with yet another wrapper type that internalises nesting:

```

344 data Nest : (d : ℕ) → Set where
345   done : ∀ {d s} → Part d s → Nest d
346   nest : ∀ {dl dr} → Nest dl → Nest dr → Nest (dl + dr)
347
348 data ArNest (X : Set) : (d : ℕ) → Nest d → Set where
349   imap-done : ∀ {d s pv} → ArP X d s pv → ArNest X d (done pv)
350   imap-nest : ∀ {r rr l ll} → ArNest (ArNest X r rr) l ll → ArNest X (l + r) (nest ll rr)

```

The `ArNest` type says that nested array is either an `ArP` (a partitioned array), or a nested array of nested arrays.

Overall, the latter two constructions uses the standard dependently-typed technique of defining a structure that guides the induction, and then defining an indexed type over this structure. Similarly to `Vec`, where `ℕ` is an index, `Vec` is an index to `Ar`, the latter in an index to `ArP`, and so on. For example, we can envision defining a grid structure, or any other inductively definable traversal of array index-spaces.

However, it is important to notice, that all of thees constructions are really wrappers around the `Ar` type, which can be indeed treated as a basic building block of an array language/theory.

3 PROPERTIES OF FINITE ARRAYS

In this section we demonstrate how the proposed encoding can be used to specify typical array problems and reason about their behaviour. The `imap`-based encoding is especially useful when elements of the resulting array can be computed independently of each other. At the same time, dependent computation can be always recovered by means of recursion. Consider the specification of the matrix multiplication problem.

```

368 sum-ix1 : ∀ {k} → (lx 1 (k :: []) → ℕ) → ℕ
369 sum-ix1 {zero} f = 0
370 sum-ix1 {suc k} f = (f $ zero :: []) + sum-ix1 λ where (i :: []) → f (suc i :: [])
371
372 matmul : ∀ {m k n} → Ar ℕ 2 (m :: k :: []) → Ar ℕ 2 (k :: n :: []) → Ar ℕ 2 (m :: n :: [])
373 matmul (imap a) (imap b) =
374   imap λ where (i :: j :: []) → sum-ix1
375     λ where (k :: []) → (a $ i :: k :: []) * (b $ k :: j :: [])

```

First we define how to compute a sum of the 1-dimensional array (its inner function, to be precise) of natural numbers. We do this recursively in the same way as one would define it for `Vec`. Note that the `where` after `λ` defines a pattern-matching lambda, so that we access components of the index. The matrix multiplication is defined on two-dimensional arrays and by binding the shape components to the variables `m`, `n`, and `k` we encode the expected shape of matrix multiplication. Observe that this specification guarantees well-behaved indexing of all arrays. Also note, that in this particular case there was no need in providing any proofs about compatibility of the indices.

As a next example let us consider a rank-polymorphic operation that rotates the vectors on the inner-most axis (similar the one we used in introduction). This can be defined in many different ways, but at the core of it we would have to traverse to the last axis and map 1-d rotation to all the elements. As we have noticed previously, we can `curry`/`uncurry` selection into arrays with respect to index components. Let us spice-up our arsenal of array operations with index `curry`.

```

389 ix-curry : ∀ {X : Set}{d s ss} → (f : Ar X (suc d) (s :: ss)) → (Fin s) → (Ar X d ss)
390 ix-curry (imap f) i = imap λ iv → f (i :: iv)

```



```

393 ix-uncurry : ∀ {X d s ss} → (Fin s → Ar X d ss) → Ar X (suc d) (s :: ss)
394 ix-uncurry f = imap λ where (i :: iv) → sel (f i) iv

```

395 The reason this works so seamlessly has to do with the `Ix` type being indexed by `Vec` and mimicking its structure. Therefore when `Vec` splits, the `Ix` must split in exactly the same way. The definitions of rotation follow.

```

399 rotatev : ∀ {X k} → Ar X 1 (k :: []) → ℕ → Ar X 1 (k :: [])
400 rotatev {k = zero} (imap a) r = imap λ where (i :: [])
401 rotatev {k = suc k} (imap a) r = imap λ where (i :: []) → a $ (toℕ i + r) mod (suc k) :: []
402
403 rotate : ∀ {X d s} → Ar X d s → ℕ → Ar X d s
404 rotate {s = []} (imap a) r = imap a
405 rotate {s = x :: []} (imap a) r = rotatev (imap a) r
406 rotate {s = x :: y :: s} (imap a) r = ix-uncurry λ i → rotate (imap λ iv → a (i :: iv)) r

```

407 The `rotatev` function falls into two cases: when the array is empty (is of shape `0 :: []`) and when it contains some elements. In the first case (empty 1-d array), when constructing an `imap`, we have to produce a function from the index where with the first component of type `Fin 0` (this type is uninhabited). However, there always exists a function (exactly one) from the empty type to any given type. In order to construct such a function we need to use an eliminator for the empty type. This can be done explicitly, or as in the code above, by pattern matching on the index, in which case Agda is able to figure out that the first component could not possibly exist, in which case the absurd pattern `()` completes the definition.

415 In the second case `rotatev` uses modular arithmetic to rotate the array to the left. Notice that the definition of `mod` requires the second argument to be non-zero. As this is trivially true, the proof obligation is discharged automatically.

418 Finally, `rotate` splits into three cases. For 0-dimensional arrays the value stays untouched — no matter by how much we rotate it, there is only one position in this array, therefore we can only use identity map. For 1-dimensional arrays we use `rotatev`. For arrays with two or more dimensions we recursively apply `rotate` to all subarrays.

422 Let us now consider generalisation of the above pattern used in `rotate` that is found extremely often in array programming: mapping a function over the last `k` axes of the array. In APL this is commonly referred as rank operator [Bernecky 1987], and in our framework this can be easily achieved by means of nesting. Let us first define a map operator.

```

426 map : ∀ {X Y d s} → (X → Y) → Ar X d s → Ar Y d s
427 map f (imap a) = imap λ iv → f (a iv)
428

```

429 This is straight-forward to do using the `imap` constructor. Furthermore, we can define an operation that turns an array of shape `(l ++ r)` into a nested array of shape `l`, where elements are arrays of shape `r`. We start with a few helper functions that are counterparts for `++`, `take` and `drop` for vectors.

```

432 _ix++_ : ∀ {l r ll rr} → (iv : Ix l ll) → (jv : Ix r rr) → Ix (l + r) (ll ++ rr)
433 take-ix : ∀ {l r ll rr} → Ix (l + r) (ll ++ rr) → Ix l ll
434 drop-ix : ∀ {l r ll rr} → Ix (l + r) (ll ++ rr) → Ix r rr
435

```

436 The `ix++` concatenates two indices within the shape `ll` and `rr` into an index within the index-space `(ll ++ rr)`. The other two functions are left and right inverses to the concatenation: `take-ix` takes the left part of the index in the index-space `(ll ++ rr)` and `drop-ix` takes the right part of the index in the same space.

440 Using these functions we can define general nesting and flattening operations as follows.

441

442 $\text{nest} : \forall \{X\ l\ r\ ll\ rr\} \rightarrow \text{Ar } X\ (l + r)\ (ll ++ rr) \rightarrow \text{Ar } (\text{Ar } X\ r\ rr)\ ll\ ll$

443 $\text{nest } (\text{imap } a) = \text{imap } \lambda\ iv \rightarrow \text{imap } \lambda\ jv \rightarrow a\ \$\ iv\ ix ++ jv$

444 $\text{unnest} : \forall \{X\ l\ r\ ll\ rr\} \rightarrow \text{Ar } (\text{Ar } X\ r\ rr)\ ll\ ll \rightarrow \text{Ar } X\ (l + r)\ (ll ++ rr)$

445 $\text{unnest } (\text{imap } a) = \text{imap } \lambda\ iv \rightarrow \text{sel } (a\ \$\ \text{take-ix } iv)\ (\text{drop-ix } iv)$

447 The nest operation is similar to currying: we force to supply indices to the array in two parts, and
 448 the lengths of the corresponding indices are given by ll and rr . As currying comes with uncurry
 449 operation, unnest is the inverse operation to nest.

450 Finally, here is an alternative definition of the rotate function that nests an array, maps the
 451 `rotatev` function and unnests it back.

452 $\text{rotate-map} : \forall \{X\ d\ s\} \rightarrow \text{Ar } X\ d\ s \rightarrow \mathbb{N} \rightarrow \text{Ar } X\ d\ s$

453 $\text{rotate-map } \{d = \text{zero}\} a\ r = a$

454 $\text{rotate-map } \{d = \text{succ } d\} \{s = s\} a\ r\ \text{rewrite } (+\text{-comm } 1\ d)\ \text{with } \text{splitAt } d\ s$

455 $\dots | ll, (k :: []) , \text{refl} = \text{unnest } \$\ \text{map } (\text{flip } \text{rotatev } r)\ \$\ \text{nest } a$

457 It is defined by cases on the rank d : for zero-dimensional arrays we return the array itself, and
 458 for $(1 + d)$ -dimensional ones we map the function over the last dimension. Let us decipher what
 459 exactly is happening. As nest operates on arrays of type $\text{Ar } X\ (l + r)\ (ll ++ rr)$, we need to transform
 460 the type of our input array ($\text{Ar } X\ (1 + d)\ ss$) into the right form. We do this by using the theorem
 461 `+comm` that states that addition is commutative. The rewrite of this theorem says that we replace
 462 all the occurrences of $(1 + d)$ with $(d + 1)$. As a result the type of the input (and the output!) arrays
 463 become $(\text{Ar } X\ (d + 1)\ s)$. Recall from the Ar construction that the type of s also became $\text{Vec } \mathbb{N}\ (d +$
 464 $1)$. The `splitAt` $d\ s$ function splits the vector $(d + k)$ into two vectors ll and rr of the types $\text{Vec } \mathbb{N}\ d$
 465 and $\text{Vec } \mathbb{N}\ 1$, and returns a proof that $ll ++ rr$ is equal to s . When pattern-matching on this proof
 466 the types of the input/output arrays are refined to $\text{Ar } X\ (d + 1)\ (ll ++ rr)$. Therefore we can apply
 467 `nest` to a .

468 Note that we did not need to define a special case for 1-dimensional arrays, as $1 = 0 + 1$ and
 469 consequently $s = [] ++ s$. That is a one-dimensional array can be turned into a zero-dimensional
 470 array of 1-dimensional arrays. More generally, we can always enclose an element of some type
 471 into a zero-dimensional array of that type:

472 $\text{enc} : \forall \{X : \text{Set}\} \rightarrow X \rightarrow \text{Ar } X\ 0\ []$

473 $\text{enc } a = \text{imap } \lambda\ _ \rightarrow a$

475 Note that we do not lose or duplicate any information here: a zero-dimensional array has exactly
 476 one position and the element can be retrieved by indexing with the index `[]`.

477 $\text{enc-thm} : \forall \{X : \text{Set}\} (x : X) \rightarrow \text{sel } (\text{enc } x)\ [] = x$

478 $\text{enc-thm } x = \text{refl}$

480 At this moment we hope that the reader is convinced that the proposed array types are strong
 481 enough to allow for most of the rank-polymorphic APL-like expressions. If in doubts, we invite a
 482 reader to inspect the APL module in the supplementary materials where we define a large enough
 483 set of APL operators so that we can encode a convolutional neural network (found in supplement-
 484 ary materials as well).

485

486 3.1 Properties

487 Let us now represent a few array-theoretical properties that can be observed in the proposed
 488 framework. We start with the definition of array equality. In all the three mentioned array theories
 489 array equality is defined extensionally.

490

```

491  $\_ = a \_ : \forall \{X : \text{Set}\} \{d\ s\} \rightarrow \text{Ar } X\ d\ s \rightarrow \text{Ar } X\ d\ s \rightarrow \text{Set}$ 
492  $\text{imap } f = a \text{ imap } g = \forall iv \rightarrow f\ iv \equiv g\ iv$ 

```

493
494 We can straight-forwardly show that this relation is reflexive, symmetric and transitive: simply
495 because the propositional equality \equiv is.

```

496  $\text{refl} = a : \forall \{X : \text{Set}\} \{d\ s\} \{x : \text{Ar } X\ d\ s\} \rightarrow x = a\ x$ 
497  $\text{sym} = a : \forall \{X : \text{Set}\} \{d\ s\} \{l\ r : \text{Ar } X\ d\ s\} \rightarrow l = a\ r \rightarrow r = a\ l$ 
498  $\text{trans} = a : \forall \{X : \text{Set}\} \{d\ s\} \{x\ y\ z : \text{Ar } X\ d\ s\} \rightarrow x = a\ y \rightarrow y = a\ z \rightarrow x = a\ z$ 

```

500 Note that as standard Agda uses intensional type theory, $= a$ will not be substitutive, *i.e.* there will
501 be no way to prove that:

```

502  $\text{subst} = a : \forall \{X\ d\ s\ Y\ d'\ s'\} \rightarrow (f : \text{Ar } X\ d\ s \rightarrow \text{Ar } Y\ d'\ s') \rightarrow \forall a\ b \rightarrow a = a\ b \rightarrow (f\ a) = a\ (f\ b)$ 

```

504 This happens because the intensional type theory does not admit functional extensionality. This
505 is a well-known problem, and as a simple way to overcome this one might either postulate func-
506 tional extensionality (locally or globally). An alternative solution would be switching to cubical
507 Agda [Vezzosi et al. 2019], but this development is left as a future work.

508 *Lifting relations.* Unsurprisingly, any element-wise relation can be generalised for the entire
509 arrays.

```

511  $\text{ARel} : \forall \{X : \text{Set}\} \rightarrow (P : X \rightarrow X \rightarrow \text{Set}) \rightarrow \forall \{d\ s\} \rightarrow \text{Ar } X\ d\ s \rightarrow \text{Ar } X\ d\ s \rightarrow \text{Set}$ 
512  $\text{ARel } p (\text{imap } x) (\text{imap } y) = \forall iv \rightarrow p\ (x\ iv)\ (y\ iv)$ 

```

514 As before, we define the new array relation as a pointwise relation given by P on all the corre-
515 sponding elements.

516 A more interesting fact about array relations is that we can generally show that if P is decidable,
517 then $\text{ARel } P$ is also decidable. Here is a function that builds a decision procedure for $\text{ARel } P$ from
518 the decision procedure on P .

```

519  $\text{mk-dec-arel} : \forall \{X : \text{Set}\} \rightarrow (p : X \rightarrow X \rightarrow \text{Set}) \rightarrow \text{Decidable } p$ 
520  $\rightarrow \forall \{d\ s\} \rightarrow \text{Decidable } (\text{ARel } p \{d = d\} \{s = s\})$ 

```

522 The essence of this construction lies in defining an inductive traversal through the index-space
523 of both arrays, using the previously defined `ix-curry` function. In order to state that all the elements
524 in the entire array are related, we check that all the subarrays (formed by currying on the first axis)
525 are related. The base case of the induction is an empty array, for which the decision procedure is
526 trivial. The step of the induction applies the procedure to all the sub-arrays and then uses the
527 following function to build either an evidence or a refutation.

```

529  $\text{check-all-subarrays} : \forall \{d\ s\ ss\} \{X : \text{Set}\} \{P : X \rightarrow X \rightarrow \text{Set}\}$ 
530  $\rightarrow \text{let } \_ \sim a \_ = \text{ARel } P \text{ in}$ 
531  $(a\ b : \text{Ar } X\ (\text{suc } d)\ (\text{suc } s :: ss))$ 
532  $\rightarrow (\forall i \rightarrow \text{Dec } (\text{ix-curry } a\ i \sim a\ \text{ix-curry } b\ i))$ 
533  $\rightarrow (\Sigma (\text{Fin } (\text{suc } s)) \lambda i \rightarrow \neg (\text{ix-curry } a\ i \sim a\ \text{ix-curry } b\ i))$ 
534  $\uplus (\forall i \rightarrow (\text{ix-curry } a\ i \sim a\ \text{ix-curry } b\ i))$ 

```

536 This says: if we decided the relation on all the sub-arrays, then we can either give an index at
537 which the relation does not hold or it holds for all of them. With an extra bit of plumbing we turn
538 this disjoint union into `yes` or `no` answer.

539

540 *Reshape*. In all the three array theories the reshape operator is amongst the core primitives, or
 541 as in case of Jenkins’ theory, an array constructor. This operation is indeed at the core of array
 542 computations – it is very useful to have a universal way to change the shape and possibly the
 543 rank of an array.

544 All three theories agree, that reshape has to be constrained with the axiom:

$$545 \quad a \equiv \text{reshape}(\text{shape } a)$$

546 which is pretty much common sense. If we assume that arrays of shapes s and s' have the same
 547 number of elements, Axiom 19 in Moore’s theory states that reshape is contractible:

$$548 \quad \text{reshape } s(\text{reshape } s' a) \equiv \text{reshape } s a$$

549 We could not help noticing that these two axioms very much remind a structure of an indexed
 550 monad:

$$551 \quad \text{Sh} = \Sigma \mathbb{N} \lambda n \rightarrow \text{Vec } \mathbb{N} n$$

$$552 \quad \text{Arr}(d, s) X = \text{Ar } X d s$$

$$553 \quad \text{compat} : \text{Sh} \rightarrow \text{Sh} \rightarrow \text{Set}$$

$$554 \quad \text{compat}(d_1, s_1)(d_2, s_2) = \text{prod } s_1 \equiv \text{prod } s_2$$

$$555 \quad \text{record IM } \{X : \text{Set}\} (F : (a b : \text{Sh}) \rightarrow \text{compat } a b \rightarrow \text{Arr } b X \rightarrow \text{Arr } a X) : \text{Set where}$$

$$556 \quad \text{field}$$

$$557 \quad \text{return} : \forall \{i a\} \rightarrow a = a \text{ F i i refl } a$$

$$558 \quad \text{join} : \forall \{i j k ij jk a\} \rightarrow F i j ij (F j k jk a) = a \text{ F i k (trans } ij jk) a$$

559 Indeed, an element-preserving reshape operation can be thought of as an indexed functor, within
 560 indices being shapes, on a category of arrays. This functor is bound by the “monadic” laws. Notice
 561 the contravariant nature of F , exactly the same contravariancy is observed in morphisms on the
 562 category of containers [Abbott et al. 2005], as well as in our definition of `transf` in Section 2.2.

563 The second observation is that in case we accept the “join” axiom, reshaping can be defined in
 564 terms of flattening. Flattening is an *element-preserving* reshape to the array of rank one. In this
 565 case reshape can be defined as an inverse of the flattening:

$$566 \quad \text{reshape } s(\text{flatten } a) \equiv \text{reshape } s a \quad \text{Ax 19}$$

$$567 \quad \text{unflatten } s(\text{flatten } a) \equiv \text{reshape } s a$$

568 Once again, element-preserving reshape can be defined in many ways that would not satisfy the
 569 join axiom, but it does if we define it via flattening.

570 While all three array theories agree on reshaping from a canonical flattening, they disagree on
 571 the compatibility constraint. The main difficulty is to decide what is the behaviour if the number
 572 of elements in the array and the number of elements in the argument shape do not match. In case
 573 both shapes are non-empty, all three theories agree that the flattened list is adjusted to match
 574 the argument shape. In case there is too much elements, the list is truncated, in case there is too
 575 little elements, the list is extended by cycling the original list. The difficult question is what to do
 576 when empty arrays are reshaped into a non-empty ones. Mullin’s theory defines reshape by case
 577 analysis and rules out this possibility: if the argument shape is non-empty, the array shape must be
 578 non-empty as well. In Jenkins’ theory empty lists carry “default element”, therefore this element is
 579 replicated as many times as needed. More says that reshaping (into a rank-1 array) forms a list by
 580 cycling through the flattened input array so many times. It is not guaranteed that the shape of the
 581 reshaped result will be of the shape supplied as the argument, therefore for empty lists, reshape is
 582 vacuously defined.

In the proposed formalism, `reshape` is not a primitive, therefore all the three behaviours (except the builtin default element) can be defined. It is worth mentioning that the unusual behaviour of array operators may result from the fact that all the three theories are untyped. Therefore, there is a natural desire to make most of the functions total, even if one has to “patch” some of the corner cases. With dependent types there is no real need to do this, as all the assumptions can be encoded in types.

Finally, if the reshaping operation is defined via flattening, we have to choose a canonical one. All three array theories agree that the row-major ordering is the canonical one. This is indeed a good choice, as lexicographical ordering of indices gives a rise to the following inductive definition:

```

589 flatv : ∀ {X d s} → Ar X d s → Vec X (prod s)
590 flatv {d = zero} {s = []} (imap a) = (a [] :: [])
591 flatv {d = suc d} {s = s :: ss} a = foldr (λ i → Vec _ (i * _) _+_ [] (tabulate (flatv ∘ ix-curry a)))
592

```

This homomorphism is saying that flattening is a concatenation of flattened subarrays.

We define flattening/unflattening operation using the following two functions:

```

604 off→idx : ∀ {d} s → lx 1 (prod s :: []) → lx d s
605 idx→off : ∀ {d} s → lx d s → lx 1 (prod s :: [])
606
607 flatten : ∀ {X d} s → Ar X d s → Ar X 1 (prod s :: [])
608 flatten s (imap a) = imap $ a ∘ off→idx s
609 unflatten : ∀ {X d} s → Ar X 1 (prod s :: []) → Ar X d s
610 unflatten s (imap a) = imap $ a ∘ idx→off s
611

```

The following two theorems state that we can switch between the flattened view and back.

```

613 io-oi : ∀ {d}{s : Vec _ d}{iv : lx 1 (prod s :: [])} → idx→off s (off→idx s iv) ≡ iv
614 oi-io : ∀ {d}{s : Vec _ d}{iv : lx d s} → off→idx s (idx→off s iv) ≡ iv
615

```

The definition of `reshape` and the theorems ensuring that `reshape` behaves according to the `IM` rules follow.

```

618 reshape : ∀ {X d d' s} → (s' : Vec IN d') → Ar X d s → (prod s' ≡ prod s) → Ar X d' s'
619 reshape {s = s} s' (imap a) pf = imap λ iv → a (off→idx s (subst (λ x → lx 1 (x :: [])) pf (idx→off s' iv)))
620
621 reshape-thm : ∀ {X d s}{a : Ar X d s} → reshape s a refl = a
622 reshape-thm {a = imap a} iv rewrite oi-io {iv = iv} = refl
623
624 reshape-join : ∀ {X d1 d2 d3 s3}{s1 : Vec _ d1}{s2 : Vec _ d2}{s23 : prod s2 ≡ prod s3}{s12 : prod s1 ≡ prod s2}
625 → (a : Ar X d3 s3) → reshape s1 (reshape s2 a s23) s12 =a reshape s1 a (trans s12 s23)
626 reshape-join {s3 = s3}{s1 = s1}{s2 = s2}{s23 = s23}{s12 = s12} (imap a) iv
627 rewrite (io-oi {s = s2}{iv = subst (λ x → lx 1 (x :: [])) s12 (idx→off s1 iv)})
628 = cong a (cong (off→idx s3) (subst-subst s12))
629

```

4 INFINITE COUNTERPARTS

We have seen that rank-polymorphic arrays are “views” of the `Vec` type. The structure of this view is tightly coupled with the idea of nesting, and the row-major flattening is a way to convert from arrays to `Vecs`.

As we are interested in extending arrays to support infinite collections of ordered data, we investigate whether it would be possible to replicate this “view” approach to the infinite counterparts of `Vec`, preserving typical array properties.

We leave the term infinite counterpart a bit loose, as our main goal here is not a formal duality between data structures, but rather a common interface between finite and infinite arrays. In the rest of the section we consider three coinductive data structures: Streams, Colists and Covectors.

Coinductive structures. The main mechanism for defining coinductive data structures in Agda is via records that are tagged with `coinductive`. This instructs Agda to use greatest fixed point for recursive records, and check for productivity rather than for termination. In order to facilitate productivity checks, Agda introduces the notion of sized types [Abel 2012] which gives a way to attach a measure to the size of the term, and possibly refer to it in definitions. In the standard library coinductive data structures are typically defined with the help of the record called `Thunk`:

```
record Thunk {a} (F : Size → Set a) (i : Size) : Set a where
  coinductive
  field force : {j : Size< i} → F j
```

It is a 1-element record that ensures that its content is size-wise smaller than the entire record. To match the standard library definitions we use `thunks` in the presentation as well.

Streams. The first infinite data type we consider is `Stream` that is defined as follows:

```
data Stream (A : Set) (i : Size) : Set where
  _::_ : A → Thunk (Stream A) i → Stream A i
```

In some sense, it is a `List` that does not have the `[]` case, thus representing *only* infinite sequences. Unsurprisingly, there exists a number of `List` operations that can be defined on `Streams`:

```
maps : ∀ {i}{X Y} → (X → Y) → Stream X i → Stream Y i
maps f (x :: xs) = f x :: λ where .force → maps f (xs .force)

zips : ∀ {i}{X Y} → Stream X i → Stream Y i → Stream (X × Y) i
zips (x :: xs) (y :: ys) = (x, y) :: λ where .force → zips (xs .force) (ys .force)
```

As `Stream X` is isomorphic to $\mathbb{N} \rightarrow X$ (do not have upper bound), array shapes are determined only by the number of dimensions. Therefore we can define rank-polymorphic infinite arrays as:

```
data Ar-s (X : Set) (d : ℕ) : Set where
  imap : (Vec ℕ d → X) → Ar-s X d
```

Note that indices into such an array are vectors of natural numbers (and not bound vectors `!x d s` as in `Ar`) – any d -element tuple of \mathbb{N} is a valid index. Similarly to `Ar`, we can represent the above infinite array as d -fold nested stream and convert between the two notations:

```
Tensor-s : Set → ℕ → Set
Tensor-s X zero = X
Tensor-s X (suc d) = Stream (Tensor-s X d) ∞

froma : ∀ {X i} → (ℕ → X) → Stream X i
froma f = f 0 :: λ where .force → froma (f ∘ suc)

as-ts : ∀ {X d} → Ar-s X d → Tensor-s X d
as-ts {d = zero} (imap a) = a []
as-ts {d = suc d} (imap a) = froma λ i → as-ts (imap λ iv → a $ i :: iv)
```

Note the built-in size ∞ used in the definition of `Tensor-s` – it may be interpreted as: “the size of the largest term that one could possibly build in Agda”.

While the obtained array type is rank-polymorphic, it does not serve as a good unifying array, as it cannot represent finite arrays. Let us now consider `Colists` and `Covec` types.

4.1 Colist and Covec

`Colist` can be thought of a `Stream` with a missing `[]` case:

```
data Colist (A : Set) (i : Size) : Set where
  [] : Colist A i
  _::_ : A → Thunk (Colist A) i → Colist A i
```

As with `Lists` and `Vecs`, we can define an indexed version of the `Colist` called `Covec`, where the index is the length. Similarly to the `List/Vec` pair, the structures are isomorphic (bisimilar). This may be verified by looking at `fromColist` and `toColist` functions in the standard library. In the remaining of the section we only show constructions on `Covecs`. As the length of `Covec` may be infinite, conatural numbers are used as indices:

```
data Conat (i : Size) : Set where
  zero : Conat i
  suc : Thunk Conat i → Conat i
```

As the element of `suc` is a `Thunk`, we are able to define a conatural number `infinity` as follows:

```
infinity : ∀ {i} → Conat i
infinity = suc λ where .force → infinity
```

This says that `infinity` is a number whose successor is the number itself. Then the definition of the `Covec` is:

```
data Covec (A : Set) (i : Size) : Conat ∞ → Set where
  [] : Covec A i zero
  _::_ : ∀ {n} → A → Thunk (flip (Covec A) (n .force)) i → Covec A i (suc n)
```

The `flip` in the `Thunk` swaps the length and the size arguments of the `Covec` so that the `Thunk` type is satisfied. As a demonstration that `Covec` admits finite and infinite data, consider the following two definitions: a two element vector (42, 43) and infinite vector of 42s.

```
v42-43 : Covec N ∞ (fromN 2)
v42-43 = 42 :: (λ where .force → 43 :: (λ where .force → []))
```

```
inf42 : ∀ {i} → Covec N i infinity
inf42 = 42 :: λ where .force → inf42
```

Similarly we can define a function that converts from `Vec` to `Covec` as well as from `Stream` to `Covec` and back, given that the length is finite or infinite:

```
v-cov : ∀ {X : Set}{n} → Vec X n → Covec X ∞ (fromN n)
```

```
v-cov [] = []
```

```
v-cov (x :: a) = x :: λ where .force → v-cov a
```

```
s-cov : ∀ {X : Set}{i} → Stream X i → Covec X i infinity
```

```
s-cov (x :: xs) = x :: λ where .force → s-cov (xs .force)
```

```
cov-v : ∀ {a}{n}{X : Set a} → (pf : Finite n) → Covec X ∞ n → Vec X (toN pf)
```

```
cov-v zero [] = []
```

```
cov-v (suc pf) (x :: xs) = x :: cov-v pf (xs .force)
```

```

736 cov-s : ∀ {a}{X : Set a}{i} → Covec X i infinity → Stream X i
737 cov-s (x :: xs) = x :: λ where .force → cov-s (xs .force)
738

```

739 Note the `Finite` predicate in the `cov-v` function which ensures that the conatural number (the length
740 of the vector) is finite. Let us now show how `Covec` can be used to define rank-polymorphic arrays.
741 We define `Cofin` type which is a version of `Fin` for conatural numbers. We leave this definition as
742 an exercise (the answer can be found in the standard library). In this case:

```

743 data Colx : (d : ℕ) → (s : Vec (Conat ∞) d) → Set where
744   [] : Colx 0 []
745   _::_ : ∀ {d s x} → Cofin x → (ix : Colx d s) → Colx (suc d) (x :: s)
746
747 data CoAr {a} (X : Set a) (d : ℕ) (s : Vec (Conat ∞) d) : Set a where
748   imap : (Colx d s → X) → CoAr X d s
749

```

750 The same using nested `Covec`:

```

751 Tensor-co : ∀ {n} → Set → Vec (Conat ∞) n → Set
752 Tensor-co X [] = X
753 Tensor-co X (x :: v) = Covec (Tensor-co X v) ∞ x
754
755 cotabulate : ∀ {X : Set}{n i} → (Cofin n → X) → Covec X i n
756 cotabulate {n = zero} f = []
757 cotabulate {n = suc x} f = (f zero) :: (λ where .force → cotabulate (f ∘ suc))
758
759 nest-co : ∀ {X d s} → CoAr X d s → Tensor-co X s
760 nest-co {d = zero} {[]} (imap a) = a []
761 nest-co {d = suc d} {x :: s} (imap a) = cotabulate λ i → nest-co $ imap λ iv → a (i :: iv)
762

```

763 **4.1.1 Restrictions.** While `CoAr` indeed extends `Ar`, it is worth noticing a number of restrictions
764 that the more general data structure brings. First, no matter how we encode infinite arrays, we
765 would have to give up operations like sum of all elements, reverse, *etc.*. The good thing is that
766 Agda would not allow us to define such operations as there is no proof that such a computation
767 terminates.

768 Secondly, relations on conatural numbers are *undecidable*. For example, there is no constructive
769 algorithm that checks for equality of two conatural numbers as one (or both) of them might be
770 infinite. Moreover, all the reasoning on coinductive data structures has to happen via bisimulation.
771 That is, we have to build an argument that two objects have the same observable behaviour. For
772 example consider a proof that adding a number to infinity is bisimilar to the infinity:

```

773 ∞+m : ∀ {i m} → i ⊢ (infinity + m) ≈ infinity
774 ∞+m {m = m} = suc λ where .force → ∞+m {m = m}
775
776 m+∞ : ∀ {i m} → i ⊢ (m + infinity) ≈ infinity
777 m+∞ {m = zero} = refl
778 m+∞ {m = suc m} = suc λ where .force → m+∞ {m = m .force}
779

```

780 Similarly to functional extensionality, bisimilarity is an equivalence relation, but we cannot sub-
781 stitute bisimilar things for the bisimilar ones in the intuitionistic type theory.

782 The above fact about adding to infinity tells us that array reshaping defined via row-major flat-
783 tening would not work for the following reason:

785 $\infty\text{-}++ : \forall \{a\} \{i\} m \{X : \text{Set } a\}$
 786 $\quad \rightarrow (l : \text{Covec } X \infty \text{ infinity})$
 787 $\quad \rightarrow (r : \text{Covec } X \infty m) \rightarrow i, \text{infinity} \vdash (\text{cast } \infty\text{-}+m (l ++ r)) \approx l$
 788 $\infty\text{-}++ (x :: xs) r = \text{refl} :: \lambda \text{ where } .\text{force} \rightarrow \infty\text{-}++ (xs .\text{force}) r$
 789

790 This theorem says that concatenation of l and r , where l is infinite, is bisimilar to l . This means that
 791 elements of r will be “lost forever”. Recall that reshaping axioms say that we can flatten the array
 792 and reshape it back into the same shape and get back the same array. Now consider what happens
 793 if the shape of the array is $(2 :: \text{infinity} :: [])$. We may flatten the array (in row-major order) into
 794 a 1-d array of length $(2 * \text{infinity} = \text{infinity})$. In order to unflatten we would need to take **infinity**
 795 many elements from the flattening *twice*. But there will be no elements left after the first take.
 796

797 *Disclaimer.* It is worth noting that we are not claiming that such a lack of invertible flattening
 798 makes it impossible to develop an array theory. We can envision that flattening could be defined
 799 by means of diagonalisation, and probably it can be shown that it is invertible. However, there is
 800 no array theory known to us that permits this. Also, if we scale our experience of diagonalising
 801 empty tables (Section 2.1), the infinite case may be infinitely harder to handle. By no means we
 802 suggest that it should not be done, but before engaging with this research we explore row-major-
 803 preserving alternatives first.
 804

805 5 TRANSFINITE ARRAYS

806 This chapter describes the key contribution of the paper: observing that the use of ordinals as
 807 indices naturally extends finite rank-polymorphic arrays with row-major flattening into an infinite
 808 domain. Here we make this idea precise.
 809

810 *Formal Definition.* We start with a very brief formal introduction of ordinals, but due to the lack
 811 of space we are not providing formal definitions of ordinal operations. For references on ordinals
 812 consider [Ciesielski 1997; Manolios and Vroon 2005].
 813

814 A totally ordered set $\langle A, < \rangle$ is said to be well ordered if and only if every nonempty subset of
 815 A has a least element [Ciesielski 1997]. Given a well-ordered set $\langle X, < \rangle$ and $a \in X$, $X_a \stackrel{\text{def}}{=} \{x \in$
 816 $X \mid x < a\}$. An ordinal is a well-ordered set $\langle X, < \rangle$, such that: $\forall a \in X : a = X_a$. As a consequence,
 817 if $\langle X, < \rangle$ is an ordinal then $<$ is equivalent to \in . Given a well-ordered set $A = \langle X, < \rangle$ we define an
 818 ordinal that this set is isomorphic to as $\text{Ord}(A, <)$. Given an ordinal α , its successor is defined to
 819 be $\alpha \cup \{\alpha\}$. The minimal ordinal is \emptyset which is denoted with 0 . The next few ordinals are:
 820

$$\begin{aligned}
 821 \quad 1 &= \{0\} &= \{\emptyset\} \\
 822 \quad 2 &= \{0, 1\} &= \{\emptyset, \{\emptyset\}\} \\
 823 \quad 3 &= \{0, 1, 2\} &= \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\} \\
 824 &\dots
 \end{aligned}$$

825 A limit ordinal is an ordinal that is greater than zero that is not a successor. The set of natural
 826 numbers $\{0, 1, 2, 3, \dots\}$ is the smallest limit ordinal that is denoted ω .
 827

828 5.1 Church Encoding

829 An alternative way to understand ordinals is via their Church encodings. Similarly to the familiar
 830 church-encoded natural numbers, the same can be done with ordinals, and it is instructional to
 831 look at the two encodings side-by-side.
 832

```

834   N = {X : Set} → (X → X) → X → X           O = {X : Set} → ((N → X) → X) → (X → X) → X → X
835
836   nadd nmul nexp : N → N → N                 oadd omul oexp : O → O → O
837   nadd a b s z = b s (a s z)                 oadd a b l s z = b l s (a l s z)
838   nmul a b s = b (a s)                       omul a b l s = b l (a l s)
839   nexp a b {X} = b {X → X} a                 oexp a b {X} l = b {X → X} (λ f x → l λ n → f n x) (a l)
840
841   nzer : N; nsuc : N → N                     ozer : O; osuc : O → O; olim : (N → O) → O
842   nzer s z = z                               ozer l s z = z
843   nsuc a s z = s (a s z)                   osuc x l s z = s (x l s z)
844
845   (f** zero) x = x                           olim f l s z = l λ n → f n l s z
846   (f** suc n) x = f((f** n) x)             oω = olim λ n → (osuc ** n) ozer

```

Both encodings are given in the impredicative style – natural numbers on the left and ordinals on the right. As it can be seen, the ordinal type has an additional limit “constructor” that makes it possible to form infinite sequences. The arithmetic operations are defined very similarly, except ordinals have to deal with the limit case. In case of addition and multiplication it is just passed by, and in case of exponentiation, it is turned into a pointwise limit. At the end of right column we define the first limit ordinal ω as a limit of iterated successors (the iteration of successors is given at the end of the left column).

5.2 Ordinals, CNF

While Church ordinals gives a convenient understanding of ordinals as iterators, the presence of the $(\mathbb{N} \rightarrow X)$ function that denotes sequences makes operations like comparison undecidable. A typical workaround is to use some well-behaved ordinal notation system for a chosen initial segment of ordinals. One of the commonly used ordinal notation systems is called Cantor Normal Form (CNF). This can be thought of as a hereditary ω -based positional numeral system. More formally, every ordinal α can be *uniquely* represented as:

$$\alpha = \omega^{\beta_1} c_1 + \omega^{\beta_2} c_2 + \dots + \omega^{\beta_k} c_k$$

where $\beta_1 > \beta_2 > \dots > \beta_k \geq 0$ and $c_i > 0$. The ordinal 0 has a cantor normal form with $k = 0$. The highest exponent (also called the degree of α) satisfies $\beta_1 \leq \alpha$. However, for the cases when $\alpha < \epsilon_0$, this inequality becomes strict, *i.e.* $\beta_1 < \alpha$. The ordinal ϵ_0 is the least solution to the equation $\alpha = \omega^\alpha$, or a sequence $\{\omega, \omega^\omega, \omega^{\omega^\omega}, \omega^{\omega^{\omega^\omega}}, \dots\}$.

Now we demonstrate the encoding of the CNF in Agda. The interesting thing about this encoding is that we have to simultaneously define the structure and the relation on this structure.

```

870 data Ordinal : Set

```

```

871
872 record OrdTerm : Set where
873   inductive; constructor ω^ _.<_<_
874   field
875     exp : Ordinal
876     k : N
877     .k>0 : k > 0
878

```

The dots like the one in front of `k>0` indicate irrelevance of the argument at runtime and simplifies some of the proofs. In the context of this paper the dots can be ignored – we keep them here so that the code matches the supplementary materials. We start with the definition of the `OrdTerm`

883 which represents the term $\omega^{\text{exp}k}$ with the additional condition that k is positive. Then the **Ordinal**
 884 later will happen to be a list of **OrdTerms**. Before that we declare existence two relations: $>_e$ and
 885 $<_o$. The former compares the exponent of the term (on the left) and the degree of the ordinal on
 886 the right. The $<_o$ is a regular ordinal comparison.

```
887 data >_e_ : OrdTerm → Ordinal → Set
888 data <_o_ : Ordinal → Ordinal → Set
889 >_o_ : Ordinal → Ordinal → Set
890 a >_o b = b <_o a
```

892 The **Ordinal** is a list of terms, where the head of the list must be greater ($>_e$) than the degree of
 893 the tail. This implements the exponent ordering required by the CNF.

```
894 data Ordinal where
895 [] : Ordinal
896 _::<_> : (x : OrdTerm) → (xs : Ordinal) → .(x >_e xs) → Ordinal
```

898 The exponent comparison is a wrapper around the ordinal comparison: it says that any ordinal
 899 term has a greater exponent than zero; or the term exponent is greater than the degree of the
 900 ordinal when the exponent of the term is greater than the exponent of the first element in the list.

```
901 data >_e_ where
902 zz : ∀ {t} → t >_e []
903 ss : ∀ {t o l}. {pf} → exp t >_o exp o → t >_e (o :: l < pf >)
```

905 Comparison of two ordinals falls into four cases: zero is less than any ordinal term; degree of the
 906 left ordinal is less than the degree of the right one; degree is the same but the left coefficient is less
 907 than the right one; and finally, degrees and coefficients are equal, but the left tail is less than the
 908 right one.

```
909 data <_o_ where
910 z< : ∀ {t l}. {pf} → [] <_o t :: l < pf >
911 e< : ∀ {t t1 l l1}. {pf pf1} → exp t <_o exp t1 → t :: l < pf > <_o t1 :: l1 < pf1 >
912 k< : ∀ {t t1 l l1}. {pf pf1} → exp t ≡ exp t1 → k t < k t1 → t :: l < pf > <_o t1 :: l1 < pf1 >
914 t< : ∀ {t t1 l l1}. {pf pf1} → exp t ≡ exp t1 → k t ≡ k t1 → l <_o l1 → t :: l < pf > <_o t1 :: l1 < pf1 >
```

915 Here we assign readable names to the ordinals zero, one and ω . In the proofs for ordinals **1_o** and
 916 ω it says that 1 is positive and the second proof at the end says that the term is greater ($>_e$) than
 917 the tail, which is trivially true.

```
918 pattern 0o = []
919 pattern 1o = ω ^ 0o · 1 < s ≤ s z ≤ n > :: [] < zz >
921 pattern ωo = ω ^ 1o · 1 < s ≤ s z ≤ n > :: [] < zz >
```

923 5.3 Operations

924 We make a brief overview of the operations and their properties that we define in our formalisation.

925 As CNF ordinals are essentially trees, comparison of the elements is decidable. This is very
 926 valuable for the upcoming array formalisms, as array operations may chose to behave differently
 927 on finite/infinite shape values, limit values, *etc.*

```
928
929 _≡o_ : Decidable ( _ ≡_ {A = Ordinal} )
930 _<o?_ : Decidable ( _<o_ ); _>o?_ : Decidable ( _>o_ )
```

931

932 $_ \geq_o _ : \text{Ordinal} \rightarrow \text{Ordinal} \rightarrow \text{Set}$

933 $a \geq_o b = a >_o b \uplus a \equiv b$

934 We define usual arithmetic operations on ordinals of the following types:

935 $_ +_o _ : \text{Ordinal} \rightarrow \text{Ordinal} \rightarrow \text{Ordinal}$

936 $_ * _o _ : \text{Ordinal} \rightarrow \text{Ordinal} \rightarrow \text{Ordinal}$

937 $_ -_o _ : (a\ b : \text{Ordinal}) \rightarrow \{ \geq : a \geq_o b \} \rightarrow \text{Ordinal}$

938 $_ \text{divmod}_o _ : (a\ b : \text{Ordinal}) \rightarrow \{ \neq 0 : b \neq 0_o \} \rightarrow \text{Ordinal} \times \text{Ordinal}$

939 Note that subtraction and division/modulo require an extra argument: a proof that the arguments are compatible. Our mechanisation is standard and is similar to the one found in [Manolios and Vroon 2005], except division/modulo, which we have never seen begin mechanised before. However, as division is the key to the row-major reshapes, we have to provide it.

940 Addition and multiplication on ordinals are famously non-commutative. If we recall the formal definition, then addition is a concatenation of two orders. Now consider $2 + \omega$ and $\omega + 2$. In the first case we concatenate $0' < 1' < 0 < 1 < \dots$, in the second we have $0 < 1 < 2 < \dots < 0' < 1'$. In the first case only $0'$ does not have immediate predecessor, so if we relabel the elements we get ω ; however in the second case, both 0 and $0'$ have no immediate predecessors. As multiplication is defined via addition, it is non-commutative either. This means that subtraction and division can be defined in two different ways (on the left and on the right). In our formalisation we only define left subtraction and left division; its correctness is verified by the following two theorems:

941 $b +_o a = b : \forall \{a\ b\} \rightarrow (\geq : a \geq_o b) \rightarrow b +_o (a -_o b) \{ \geq \} \equiv a$

942 $\text{divmod-thm} : (x\ y : \text{Ordinal}) \rightarrow (\neq 0 : y \neq 0_o) \rightarrow \text{let } p, q = (x \text{divmod}_o y) \{ \neq 0 \} \text{ in } (y *_o p) +_o q \equiv x$

943 $x \%_o y < y : (x\ y : \text{Ordinal}) \rightarrow (\neq 0 : y \neq 0_o) \rightarrow \text{let } p, q = (x \text{divmod}_o y) \{ \neq 0 \} \text{ in } q <_o y$

944 In the supplementary materials we define much more (about 20) theorems about properties of ordinal arithmetics and comparisons. Facts like associativity of addition, continuity of addition on the right, trichotomy of comparison, distributivity of multiplication on the left, and many more. The basic goal is to define enough facts so that we could state row-major flattening. While it is a non-trivial job to convince Agda that these facts hold, most of them can be found in math books about set theory. To save some space, we omit the full details on these arithmetic facts.

945 5.4 Transfinite Arrays

946 By now we have enough equipment to define transfinite arrays and consider a few basic examples. First, we define the `OFin` type, which is a version of `Fin` that is indexed by ordinals:

947 `record OFin (u : Ordinal) : Set where`

948 `constructor _bounded_`

949 `field`

950 `v : Ordinal`

951 `.v < u : v <_o u`

952 We do this in a refinement type style: instead of new inductive definition, we pair-up the ordinal and the proof that its value is less than the upper bound. As before, ignore the irrelevance annotation at `v < u`. After that, transfinite arrays are defined by literally replacing natural numbers with ordinals and `Fin` with `OFin`:

953 `data Ix : (d : N) → (s : Vec Ordinal d) → Set where`

954 `[] : Ix 0 []`

955 `_::_ : ∀ {d s x} → OFin x → (ix : Ix d s) → Ix (suc d) (x :: s)`

956

```

981 data Ar (X : Set) (d : ℕ) (s : Vec Ordinal d) : Set where
982   imap : (l x d s → X) → Ar X d s

```

Consider now a few simple examples that we can write in this system. We start with a list operations head and tail that we reimplement for 1-dimensional arrays in infinity-agnostic style:

```

987 hd : ∀ {X : Set} {n} → .(n ≥ 1 : n ≥o 1o) → Ar X 1 (n :: []) → X
988 hd n ≥ 1 (imap a) = a (0o bounded n ≥ 1 ⇒ 0 < n n ≥ 1 :: [])

989 tl : ∀ {X : Set} {n} → .(n ≥ 1 : n ≥o 1o) → Ar X 1 (n :: []) → Ar X 1 ((n -o 1o) {n ≥ 1} :: [])
990 tl n ≥ 1 (imap a) = imap λ where
991   (o bounded o < n - 1 :: []) → a (((1o +o o) bounded subst (<o 1o +o o) (b + a - b ≡ b n ≥ 1)
992     (a + b > a + c {a = 1o} o < n - 1)) :: [])

```

We use a few theorems that we did not present, but we hope that the name of the identifier suggests its meaning. Both `hd` and `tl` abstract over array length, which is bound to n of type `Ordinal`. Both functions require a proof that the length is at least one. In `hd` we simply select the element at position zero, and we have to construct a proof that zero is smaller than n (given that $n \geq 0$). The type signature of `tl` says that the result will be one element “shorter” than the input. We construct a proof that the given the index o that is bound by $n -_o 1_o$, the index $1_o +_o o$ is valid in the index-space of a .

While these definitions feel very much like the ones define on lists/streams, there are at least two important subtleties. First, the choice on the length relation is crucial here. If we were to specify input/output lengths in `tl` as $n + 1_o \rightarrow n$ we would not be able to define the tail in the usual sense. The reason is that $(\omega_o +_o 1_o) -_o 1_o = (\omega_o +_o 1_o)$, therefore in the limit case, we would have to take an element from the right. Secondly, the head and tail from above are insufficient to traverse arbitrary 1-dimensional (transfinite) arrays. Head and tails would only operate on the initial segment of length ω . In order to go “beyond” we will have to switch from the regular induction to the transfinite one, *i.e.* explain what happens at every limit ordinal.

As another example consider a `concat`, take and drop, also defined in infinity-agnostic style:

```

1011 conc : ∀ {X : Set} {m n} → Ar X 1 (m :: []) → Ar X 1 (n :: []) → Ar X 1 (m +o n :: [])
1012 conc {m = m} {n} (imap a) (imap b) = imap body
1013   where
1014     body : _
1015     body (x bounded x < m + n :: []) with x <o? m
1016     ... | yes p = a ((x bounded p) :: [])
1017     ... | no ¬p = b (((x -o m) bounded (a + b < a + c ⇒ b < c {a = m}
1018       $ subst (<o m +o n) (sym $ b + a - b ≡ b (o -< ⇒ ≥ ¬p)) (x < m + n))) :: [])

```

```

1020 atake : ∀ {X : Set} {m n} → Ar X 1 (m +o n :: []) → Ar X 1 (m :: [])
1021 adrop : ∀ {X : Set} {m n} → Ar X 1 (m +o n :: []) → Ar X 1 (n :: [])

```

And two theorems that define their correctness.

```

1025 conc-thm-l : ∀ {X : Set} {m n} {a : Ar X 1 (m :: [])} {b : Ar X 1 (n :: [])}
1026   → ∀ ix → sel (adrop {m = m} (conc a b)) ix ≡ sel b ix
1027 conc-thm-r : ∀ {X : Set} {m n} {a : Ar X 1 (m :: [])} {b : Ar X 1 (n :: [])}
1028   → ∀ ix → sel (atake {n = n} (conc a b)) ix ≡ sel a ix

```

1030 Finally, the row-major flattening for transfinite arrays, as previously, is given by the following
1031 two functions:

1032 $\text{off} \rightarrow \text{idx} : \forall \{n\} \rightarrow (sh : \text{Vec Ordinal } n) \rightarrow \text{Ix } 1 (\text{prod } sh :: []) \rightarrow \text{Ix } n \ sh$
1033 $\text{idx} \rightarrow \text{off} : \forall \{n\} \rightarrow (sh : \text{Vec Ordinal } n) \rightarrow \text{Ix } n \ sh \rightarrow \text{Ix } 1 (\text{prod } sh :: [])$
1034

1035 Two important things to notice. First, the flattened shape is computed as a product of the *reversed*
1036 shape vector:

1037 $\text{prod } [] = 1_0$
1038 $\text{prod } (x :: xs) = \text{prod } xs * _0 x$
1039

1040 This happens because the array of shape $[2, \omega]$ represents two rows each of which is of length ω
1041 resulting in $\omega 2$ flattening; whereas the shape $[\omega, 2]$ represents infinitely many two-element arrays,
1042 resulting in the flattening of length $2\omega = \omega$. That gives the following definition of the flattening:
1043 for an array of shape $[m, n]$, translate each index $[i, j]$ into $n * i + j$, which is guaranteed (with the
1044 help of the **rm-thm** theorem) to be less than $n * m$. Then this scheme is applied inductively over the
1045 structure of the shape vector. Unflattening successively applies modulo and division, following the
1046 structure of the **prod**, and using the **divmod-thm** and **x%y<y** to ensure that the indices are within
1047 bounds.

1048 5.5 Relation to Streams

1049 Let us now relate transfinite arrays with streams. The following intuition helps: a 1-d array of
1050 length ω is a Stream. Arrays of length ωk can be represented with k streams. A 1-d array of length
1051 ω^2 can be represented as a stream of streams. The length $\omega^k + p$ can be thought of as two objects: a
1052 k -nested stream and whatever p represents. This means that as long as the length of our 1-d array
1053 is less than ω^ω , we can use the product of nested streams to represent 1-d transfinite arrays.

1054 To facilitate presentation, we will redefine the ordinal structure to the list of ordterms, where
1055 both exponents and coefficient are natural numbers (and we avoid encoding that exponents de-
1056 crease):
1057

1058 **data** OrdTerm : Set **where**
1059 $\omega^\wedge _ \cdot _ : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{OrdTerm}$
1060 **Ord** = List OrdTerm
1061

1062 First, let us represent ordinal term $\omega^e \cdot n$ as n e -fold nested streams.

1063 **Fot** : Set \rightarrow OrdTerm \rightarrow Set
1064 **Fot** $X (\omega^\wedge e \cdot n) = \text{Vec } (\text{nest-stream } X e) n$
1065 **where**
1066 **nest-stream** : $_ \rightarrow _ \rightarrow _$
1067 **nest-stream** $X \text{zero} = X$
1068 **nest-stream** $X (\text{suc } x) = \text{Stream } (\text{nest-stream } X x) \infty$
1069

1070 Recall that ordinal in CNF is the sum of terms in the decreasing sequence. We can literally translate
1071 this idea as follows:

1072 **OVec** : Set \rightarrow Ord \rightarrow Set
1073 **OVec** $X [] = \top$
1074 **OVec** $X (x :: xs) = \text{Fot } X x \times \text{OVec } X xs$
1075

1076 A vector of ordinal length is a sequence of vectors of nested streams where the nesting could be
1077 zero. For example consider an **OVec** X of length $\omega + 3$, this expands to: **Vec** (Stream $X \infty$) $1 \times \text{Vec}$
1078

1079 $X \times T$. With a bit of effort we can translate ordinal-length 1-d arrays (up to ω^ω) into the **OVec**
 1080 form and back. The nesting of such **OVecs** would give us the transfinite **Tensor** representation.

1081 This construction provides an interface between transfinite arrays and streams. For example, an
 1082 application may obtaining data as a sequence of streams, arrange them in a rectangular structure,
 1083 perform array-like operations using ordinal indices, and convert results back to streams. Note that
 1084 this is clearly not the only way to convert between stream and transfinite arrays. However, one of
 1085 the nice properties of these data structures is that they preserve the order of elements within the
 1086 streams.

1087 One may notice that ω^ω is a relatively small ordinal, and does not cover the entire space of the
 1088 presented transfinite arrays. Can we represent larger transfinite arrays with streams? Intuitively,
 1089 ω^ω is an infinitely-dimensional space, and the indices into such a space are ω -sequences of natural
 1090 numbers. A natural move here is to consider a function **Stream** $\mathbb{N} \rightarrow X$, but **Ar** already gives us a
 1091 function based representation. The question is whether there is an alternative.

1092 An important observation here is that it is possible to obtain a point of ω^ω space without pro-
 1093 viding all the index components. We can give an initial prefix of the index and assume that the
 1094 (infinite) postfix contains zeros at all positions. Similarly as if we fix a hyperplane in a 3-d space,
 1095 we can index its points with two coordinates. This is one of the possible interpretations of the limit
 1096 $\omega^\omega = \omega^0 \omega^1 \omega^2 \dots$: for every point in the infinitely-dimensional space, we choose the number of
 1097 dimensions k , and then we use the k -th member of the sequence to find the actual value of the
 1098 point (in the k -dimensional space) we are looking for. If we translate it back to streams, we need
 1099 a data structure that represents an infinite sequence, but where elements “grow” at every step. In
 1100 other words, if streams represent functions $\mathbb{N} \rightarrow X$, in order to represent ordinals ω^ω and be-
 1101 yond, we need a representation for the function $(x : \mathbb{N}) \rightarrow X(n)$. While such a data structure can
 1102 be easily defined:

```
1103 record St (X :  $\mathbb{N} \rightarrow \text{Set}$ ) : Set where
1104   coinductive
1105   field
1106     hd : X 0
1107     tl : St (X  $\circ$  suc)
1109  $\omega^\omega$  : Set  $\rightarrow$  Set
1110  $\omega^\omega$  X = St ( $\lambda$  n  $\rightarrow$  OVec X ( $\omega^\omega$  n  $\cdot$  1 :: []))
```

1112 its practical use is yet to be determined.

1114 6 RELATED WORK

1115 The idea to extend array indexing domain for better expressibility of a language is not new. For
 1116 example in [McDonnell and Shallit 1980], the extended indices are treated as cardinal numbers
 1117 with one extra point called ∞ . Such a construction is sometimes called Rieman sphere. While the
 1118 arithmetic rules in this system are straight-forward, the row-major flattening is lost. In J [Jsoftware
 1119 2016] infinity is added in a similar style as a value, but infinite arrays are not allowed.

1120 In [Taylor 1982] the authors propose to extend the domain of array indices with real numbers.
 1121 More specifically, a real-valued function gives rise to an array in which valid indices are those that
 1122 belong to the domain of that function. The authors investigate expressibility of such arrays and
 1123 they identify classes of problems where this could be useful, but neither provide a full theory nor
 1124 discuss any implementation-related details.

1125 Besides the related work that stems from APL and descendent array languages, there is an even
 1126 larger body of work that has its origins in lists and streams. One of the best-known fundamental
 1127

1128 works on the theory of lists using ordered pairs can be found in [McCarthy 1960, sec. 3], where
1129 a class of S-expressions is defined. The concepts of *nil* and *cons* are introduced, as well as *car* and
1130 *cdr*, for accessing the constituents of *cons*.

1131 The Theory of Lists [Bird 1987] defines lists abstractly as linearly ordered collections of data.
1132 The empty list and operations like length of the list, concatenation, filter, map and reduce are
1133 introduced axiomatically. Lists are assumed to be finite. The questions of representation of this
1134 data structure in memory, or strictness of evaluation, are not discussed.

1135 Concrete Stream Calculus [Hinze 2010] introduces streams as codata. Streams are similar to
1136 McCarthy’s definition of lists, in that they have functions *head* and *tail*, but they lack *nil*. This
1137 requires streams to be infinite structures only. The calculus is presented within Haskell.

1138 Streams are also related to dataflow models, such as [Estrin and Turn 1963; Kahn 1974; Petri
1139 1962]. The computational graphs in the latter can be seen as recursive expressions on poten-
1140 tially infinite streams. As demonstrated in [Beck et al. 2015], there is a demand to consider multi-
1141 dimensional infinite streams that cache their parts for better efficiency.

1142 Two array representations, called *push arrays* and *pull arrays*, are described in [Svensson and
1143 Svenningsson 2014]. The framework presented in this paper can be seen as an extensions of the
1144 concept of pull arrays. While our arrays are still index-value functions, we make sure that they
1145 are rank-polymorphic, and dependent types make it possible to move a number of checks such
1146 as range check into the type signatures. Push arrays turn an array into a stateful object that can
1147 be updated at the given index. The main motivation for such a data structure is efficient code
1148 generation. There is no conceptual difficulty in introducing push arrays in Agda. However, as we
1149 are not yet concerned with code generation, passing around stateful objects that are encapsulated
1150 in monads complicates the specification and the reasoning.

1151 All arrays in the described framework use finite representation of the shape: a *Vec* of ordi-
1152 nals/natural numbers. In the finite cases, this fact in combination with updates in place, which
1153 can be achieved by means of monads [Wadler 1995], uniqueness typing [Barendsen and Smetsers
1154 1996] or reference counting [Grelck and Scholz 2006] make efficient code generation possible. It
1155 would be interesting to explore whether we can reuse the same approach in the infinite cases.

1156 This work is largely based on the idea of containers [Abbott et al. 2003a, 2004, 2005, 2003b], which
1157 give a uniform way to represent “collections of things” e.g. lists, trees *etc.* Containers are given by
1158 the type of shapes, and the shape-indexed type family of positions. In case of our presentation of
1159 arrays, the shapes are *Vectors* of natural numbers, and the positions are given by the *lx* type. The
1160 type of the presented arrays is a restricted form of containers.

1161 A similar idea in the context of Haskell is described in [Gibbons 2017]. The formalism is also
1162 largely based on the notion of containers, and while providing a construction for rank-polymorphic
1163 arrays, it does not support rank-polymorphic *dependent* operations.

1164 The work on dependent type systems for array languages include [Slepak et al. 2014; Trojahner
1165 and Grelck 2009; Xi and Pfenning 1998]. All three frameworks are practically oriented and use
1166 a *restricted* form of dependent types. For example, Qube uses SMT solver for type inference; in
1167 Dependent ML not all the types can act as type indices; and Remora restricts the type system in a
1168 such a way so that generated type constraints fall into decidable domain. While these restrictions
1169 are indeed very useful when writing programs in a language, we believe that our approach (that
1170 has none of the above restrictions) is more applicable as an exploration vehicle.

1171 An in-depth investigation on ways to extend a type theory to include the notion of ordinals can
1172 be found in [Hancock 2000].

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1177 7 CONCLUDING REMARKS

1178 In this paper we have formalised the notion of rank-polymorphic transfinite arrays — multi-dimen-
 1179 sional arrays indexed with countable ordinals. We have demonstrated that, as it is prescribed by
 1180 many array theories for finite arrays, transfinite arrays admit row-major flattening and reshaping.
 1181 This makes it possible to use transfinite arrays as a vehicle for infinity-agnostic specifications of
 1182 numerical problems, *i.e.* specifications that work equally well on finite and infinite data.

1183 Rank polymorphism makes it possible to define specifications using index-free APL-style array
 1184 combinators. In contrast to APL, the combinators are fully typed, and they are defined within the
 1185 language, meaning that the resulting specifications have a predictable behaviour and opens up
 1186 opportunities for cross-operator optimisations.

1187 As we have seen in the previous section, transfinite arrays offer an interface to nested streams,
 1188 and make it possible to input (or output) data as streams while making computations in the array
 1189 style. We have also seen that transfinite arrays offer a convenient interface to work with very
 1190 large objects such as arrays of size ω^ω , for example representing Hilbert spaces. As our ordinals
 1191 are defined in Cantor Normal Form, their definition is inductive and comparison operations are
 1192 decidable. This allows one to take algorithmic decisions based on the finiteness of the input within
 1193 the same data type.

1194 While there is no yet efficient implementation for the proposed framework, we briefly report
 1195 on our two experimental prototypes. First we have implemented a small language with transfinite
 1196 arrays called Heh. This is an untyped interpreter written in Ocaml. As opposed to the `imap` pre-
 1197 sented in this paper, Heh implements support for partitions within the definitions of the index
 1198 spaces and makes sure that infinite arrays are updated in a lazy fashion. Programs that use finite
 1199 arrays can be compiled to SaC, leveraging efficient code generation for parallel architectures. Our
 1200 second prototype translates finite `Ar`-based Agda specifications (as we presented them in this pa-
 1201 per to SaC). The prototype is written in Agda and it uses reflection capabilities of the language to
 1202 perform the translation. Both prototypes can be found in the supplementary materials.

1203 The main difficulty with compiling transfinite arrays lies in figuring out efficient memory man-
 1204 agement strategy. On the one hand, lack of garbage collection in finite array languages make them
 1205 efficient in high-performance domains (mainly due to their ability to do efficient in-place updates).
 1206 The best memory management strategy in case of infinite arrays is yet to be determined. While
 1207 we would like to keep in-place updates, infinite arrays cannot be strict, so we will have to find a
 1208 strategy on how to update thunks and make sure that no space leaks are introduced.

1209 The most disturbing part of ordinal-based indexing is non-commutativity of arithmetic opera-
 1210 tions. While working within a proof-assistant a large number of mistakes is caught, yet it is still
 1211 possible to write unintended specifications (recall an example when $(\omega + 1) - 1 = \omega + 1$). There
 1212 exists a concept of natural sum and product on ordinals: both are commutative and associative, but
 1213 they loose the continuity in the right argument. Whether these can be used in the array theory is
 1214 an open question.

1215 Our reasoning would become simpler if we were to treat extensionally equal arrays as substi-
 1216 tutable. For that we need functional extensionality which we could obtain by switching to Cubical
 1217 Agda. This investigation is future work.

1218 As we have stated in Section 4, it is unclear whether it would be possible to maintain well-
 1219 behaved reshaping when using colist based implementation of infinite arrays. Our assumption is
 1220 that there should be a way to diagonalise any n -dimensional so that reshaping still works. However,
 1221 specific details and consequences are yet to be investigated.

1222 Finally, it is not clear what happens with transfinite arrays if we go beyond ϵ_0 .

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